

GLOBAL WARMING AND CARBON-NEGATIVE TECHNOLOGY: PROSPECTS FOR A LOWER-COST ROUTE TO A LOWER- RISK ATMOSPHERE

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ABSTRACT

Aggressive efficiency improvements and a shift away from fossil fuels cannot offset climate change threats. This realization creates an imperative for ‘carbon-negative’ mitigation measures; that is, measures that can reduce atmospheric carbon faster than emissions will load the atmosphere. Among such measures, air extraction technology, coupled with secure sequestration, offers advantages of centralization and control without direct intervention in the biosphere or major collateral environmental impact. Significant new scientific developments in air extraction show promise of greatly reduced costs, such that decreasing the concentration of carbon in the atmosphere to substantially lower risk levels may be economically practicable. These developments create a strong case for expanded R&D efforts aimed at advancing air extraction technology.

1. INTRODUCTION

The Intergovernmental Panel on Climate Change (IPCC) Special Report on Emissions Scenarios (SRES) [1] and other proposed pathways [2-3] to stabilizing the atmosphere at approximately 550 ppm (twice the pre-industrial level) generally do not include mitigation measures based on removing carbon dioxide (CO₂) from the atmosphere or offsetting the climatic effects of greenhouse gases. Instead, these scenarios rely on shifts to renewable energy sources, future technology efficiency gains, fuel switching, conservation, and land use changes to achieve atmospheric stability. On the other hand, carbon-negative solutions via geoengineering [4-7] or extraction of CO₂ directly from the atmosphere [8-12] have been presumed to be technically infeasible, too costly, or to carry the risk of significant collateral environmental impact.

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However, a number of recent developments call into question the likelihood of stabilizing the atmosphere at twice the preindustrial level or less without deploying some form of carbon-negative technology. First, emissions continue to increase, perhaps accelerate [13-16]. The increase is driven largely by rapidly developing Asian economies, but even Kyoto signees are largely off track in meeting their 2012 emissions targets. Global emissions have already exceeded the flat-line level used by Pacala and Socolow [3], and projections for 2010 and 2015 show increases relative to 2004 of 19% and 31%, respectively [15]. Second, an analysis by Pielke et al. [17] has shown that two-thirds or more of the energy efficiency improvements and decarbonization of energy supply required to stabilize greenhouse gases in IPCC scenarios is assumed to arise from *spontaneous* technological developments and their resulting decarbonization. These assumptions are judged by the authors as optimistic and may significantly underestimate the technological challenge and economic cost associated with achieving atmospheric stability. Third, gaps and differing priorities between industrialized countries, rapidly developing nations (e.g., China and India), and transitioning economies (e.g., sub-Saharan Africa) have emerged as key obstacles to a comprehensive international agreement. The control of emissions by developing economies has become critical to an international solution.

A fourth development raises questions about whether a 550 ppm atmosphere, even if achieved, represents an acceptable climate risk. Roe and Baker [18] argue that scientific uncertainties in the response of the earth's climate to increased atmospheric CO₂ may be significantly larger than previously believed, with a bias toward larger responses at a given level of atmospheric greenhouse gases. The authors suggest that these uncertainties are not likely to be reduced appreciably by future research. This development increases the risk associated with future CO₂ emissions and implies a risk-reduction premium on measures to stabilize the atmosphere at CO₂ concentrations lower than twice the preindustrial level.

Therefore, it appears increasingly evident that a low-risk level of atmospheric CO₂ cannot be realized without a significant carbon-negative mitigation component. Furthermore, studies using integrated assessment models [19, 20] have found that as yet unspecified future carbon-negative measures may be the most economically effective path to specific atmospheric or climate targets.

We discuss here a possible approach to achieve a global scale carbon-negative solution via low-cost, efficient air extraction technology. The potential role of air extraction in reducing future emissions has been considered by Keith et al. [21] and by Pielke [22]. Here, we show that deployment of technology based on recent scientific developments could prevent atmospheric levels of CO₂ from exceeding 450 ppm and return them to current levels by 2100. The key features of the technology are: (1) its utilization of widely-available low-grade process heat to power an adsorption-regeneration cycle, thereby greatly reducing the energy costs of carbon capture; and (2) the efficient harnessing of this process heat, enabling large capture capacities at centralized extraction facilities.

2. REFERENCE SCENARIOS

To explore the impact of carbon-negative solutions, we employ as reference cases two scenarios from the IPCC Special Report on Emissions Scenarios of 2000 [1]. We use the scenarios to define a range of futures preserving robust economic growth, while transitioning to a far less fossil-fuel-intensive energy portfolio. The two scenarios are members of the 'A1' family and differ mainly in the degree and speed of a future energy transition [23]. They describe a century of strong economic growth, along with rapid development and diffusion of technology-based efficiencies: energy intensity declines at a strong 1.3% per year over the century. Economic progress is particularly strong throughout the developing world, leading to a much more uniform level of material well being and health across the globe. Clearly, the scenarios represent an optimistic view of future human progress.

Economic progress is accompanied by a move away from fossil-fuel-intensive energy production. The two scenarios bracket the range from an energy portfolio balanced among fossil fuels, renewables and nuclear energy (scenario A1B) to an aggressive adaptation of renewable energy (scenario A1T). The A1T scenario is among the most optimistic (lowest emissions) of all SRES scenarios and the most optimistic of those that maintain strong economic growth in a globalized world [2]. Scenario A1B can be viewed as simply a somewhat less aggressive energy transition. Therefore, the two scenarios represent relatively optimistic views of progress toward broad economic well being in the 21st century and a simultaneous shift toward efficient emissionless energy. At the same time, they do not include strong carbon-negative mitigation measures.

Fossil fuel emission profiles for the two scenarios are shown in the Figure 1. The region between the emissions profiles for the two scenarios can be viewed as a 'domain of optimism.' Within the domain, CO₂ emissions peak around the middle of the century and decline thereafter as the transition from fossil fuel resources, along with continued efficiency increases, more than compensates for increased economic growth.

Levels of atmospheric CO₂ corresponding to the emissions 'domain of optimism,' as calculated by a carbon cycle model [24] are shown in Figure 2. The key feature of the curves is that, despite the optimistic nature of the scenarios with their emissions peaks in the middle of the century, atmospheric CO₂ increases throughout the century and continues into the next. Ultimately, CO₂ levels exceed 550 ppm, twice the level of 19th century pre-industrial Earth and more than 40% higher than today's abundance.

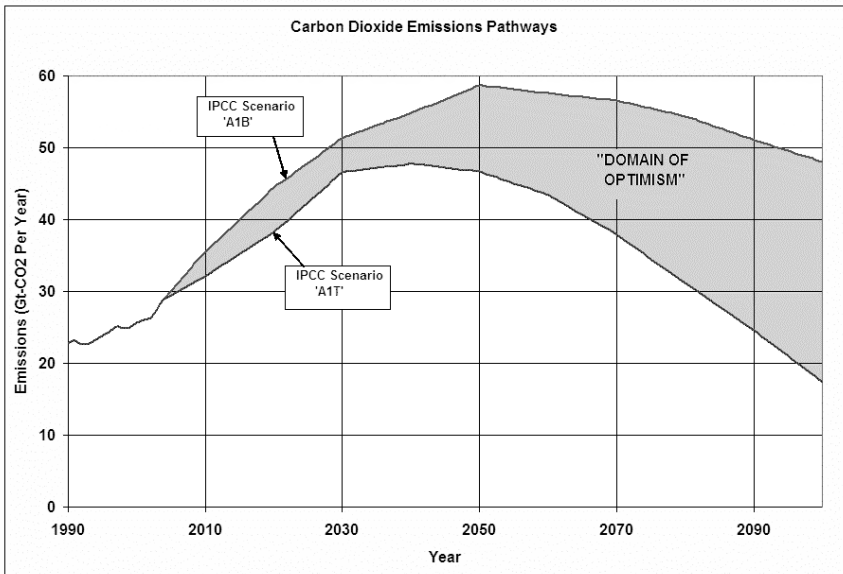


Figure 1. Carbon dioxide emissions pathways to 2100 for the A1B and A1T scenarios. The region between the curves is labeled the “Domain of Optimism,” because it represents what could be achieved by future technology efficiency gains and a substantial shift to emissionless energy, while maintaining strong worldwide economic growth. Within the domain, emissions peak around the middle of the century and decline thereafter. (The emissions scenarios shown in the figure have been adjusted slightly upward from the SRES values [1] in order to achieve consistency with the actual emissions history since 2000).

Figure 2 demonstrates that even optimistic IPCC scenarios do not prevent atmospheric CO_2 from exceeding twice the pre-industrial level. This result is largely due to the decades-long time to achieve peak global emissions, which can in turn be traced to the large capital investments required to effect a major transition to emissionless energy sources. Hoffert et al. [25, 26] and Wigley et al. [27] estimated that by 2050, 10-30 trillion watts of new emissionless primary energy production would be needed to stabilize the atmosphere at 550 ppm, depending on the future rate of decrease of energy intensity. With current renewables technology costing \sim \$5-10 per installed average watt, the capital requirements could easily exceed \$50 trillion over 40 years. Such a capital investment would be comparable to the entire current U.S. private fixed nonresidential investment over this period [28]. The relatively long time to effect a major energy infrastructure change is consistent with the findings of Ausubel et al. [29,30], who showed that major infrastructure changes have historically required several decades due to capital and technology requirements.

Therefore, a world future featuring vigorous economic growth, while shifting aggressively away from a fossil-fuel-intensive economy, still presents a significant

risk of climate change. These uncertainties warrant consideration of risk-reduction measures beyond the efficiency advances and transition to emissionless energy envisioned in the scenarios and represented by the 'domain of optimism' in the Figures 1 and 2. We define 'carbon-negative' as those measures that can reduce atmospheric CO₂. We conclude that such measures are needed to reduce the risk of climate change, even in optimistic scenarios.

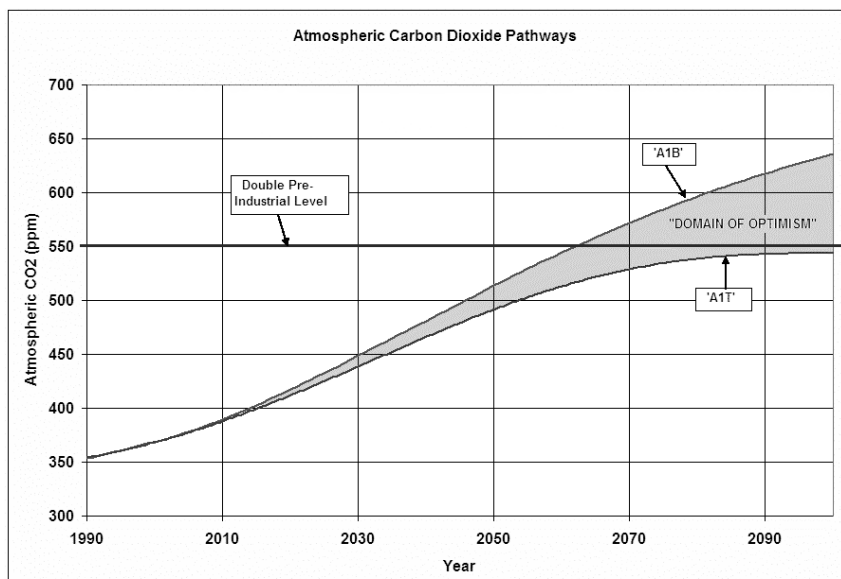


Figure 2. Atmospheric carbon dioxide levels to 2100 corresponding to the emissions scenarios of Figure 1. Atmospheric carbon dioxide eventually exceeds 550 parts per million, twice the estimated pre-industrial level.

3. TOWARD A LOWER-RISK ATMOSPHERE WITH A CARBON-NEGATIVE SOLUTION FROM LOWER-COST AIR EXTRACTION

Measures to realize a carbon-negative solution fall into two categories: technology for centralized air extraction of CO₂ [8-12] and a variety of geoengineering schemes [4-7]. Geoengineering can involve CO₂ extraction via ocean fertilization, forest sequestration, bio-energy with carbon storage (BECS), or other biosphere intervention, or offset of greenhouse-gas-induced climate change through manipulation of solar radiation reaching the surface and lower troposphere. Examples of the latter include atmospheric aerosols and space-borne reflectors. All proposed carbon-negative measures have costs, issues, and uncertainties that must be weighed against their potential to achieve a safe atmosphere. Proposed geoengineering measures have the potential to ameliorate the effect of CO₂ and other greenhouse gas emissions, but their inherent diffuseness and lack of direct control increase the risk of large collateral environmental and ecological consequences. Some measures also pose significant land use and carbon-retention issues. On the other hand, air extraction

offers the ability to centralize and control CO₂ removal activities without direct intervention in the biosphere and with relatively modest land-use demands. This centralization and control also implies that process economics can be more precisely defined and incorporated in long-term implementation strategies. However, centralized CO₂ extraction also implies the need to provide sequestration integrity and stability [31]

To demonstrate the potential impact of a carbon-negative solution on the scenarios discussed above, we will employ the example of air extraction because of the desirable features described above. Furthermore, recent scientific developments demonstrate the potential for substantially reduced costs. Previous embodiments of CO₂ extraction technology, whether air extraction or flue gas capture, have required large amounts of valuable high-grade heat to power a temperature-swing-adsorption (TSA) process. This requirement results in large energy costs. For the example of flue gas capture in a new pulverized-coal power plant context, a recent NETL-DOE study [32] finds that the parasitic load introduced by aqueous-amine-based carbon capture increases the cost of electricity by 84%, with a large part of that increase arising from the energy requirements of sorbent regeneration. In addition, the dilute CO₂ concentration associated with air extraction has been judged a substantial stumbling block [33]. However, new concepts utilizing moisture-assisted desorption [11] to recover adsorbed CO₂ at relatively low temperatures or tailored immobilized amine sorbents [12, 34-37] have the potential to greatly reduce extraction costs by employing only *low-grade process heat*. Furthermore, by avoiding the use of aqueous-phase sorbents, the *amount* of heat required for regeneration is greatly reduced, making possible large extraction capacities at sites where process heat is available.

Immobilized amine sorbents [34-37] have been the subject of considerable research because of their promise for applications in natural gas treating and in flue gas carbon capture and storage (CCS), where CO₂ concentrations reach 15%. Such amines can also adsorb appreciable amounts of CO₂ at ambient atmosphere concentrations and subsequently desorb at temperatures consistent with the use of low-grade process heat (<120 deg. C). For example, immobilized amine sorbents based on aminopropyl functionalities have been shown to adsorb appreciable amounts of CO₂ at ambient atmospheric concentrations and temperatures, with near full release of sorbed CO₂ at 75 deg. C [36]. We are conducting a comprehensive evaluation of the aziridine-based immobilization chemistry described in Ref. 35. Published results and our findings to date from temperature dependence studies [12] indicate practical desorption temperatures consistent with the use of process heat.

In addition to the efficient use of low-grade process heat for sorbent regeneration, an economical high-throughput process design must provide sufficiently large contacting area without incurring unacceptably high costs associated with moving large amounts of air through the sorbent reactor. Another key requirement is the ability to fabricate reactor components using known, readily available materials and manufacturing techniques. The high adsorption capacity and relatively low mass of immobilized amine sorbent systems greatly simplifies the engineering design of such a process. Such designs have been developed in concert with a professional process engineering firm. These designs, along with the fundamental sorbent considerations summarized above, demonstrate the technical feasibility of a TSA process utilizing

process heat and the potential for substantially reduced extraction costs.

In the following illustrative example, we assumed that only process heat is employed to power the regeneration process. Such process heat is generated by all thermally-based power plants (fossil-fueled, nuclear fission, solar concentrator, geothermal), as well as energy-intensive manufacturing (e.g., metals refining, petrochemicals). We used an estimated extraction energy efficiency of 2 kg-CO₂/kWh-electric for available immobilized amine sorbents operating in an extraction facility sited at a standard pulverized coal-burning power plant. This value follows from an analysis of the energy required to power a TSA process with low-grade process heat using amine sorbents and an assumed heat of sorption of 60 kJ/mol [12]. The overall process allows an air-extraction facility at such a power plant to extract twice the plant's emitted CO₂.

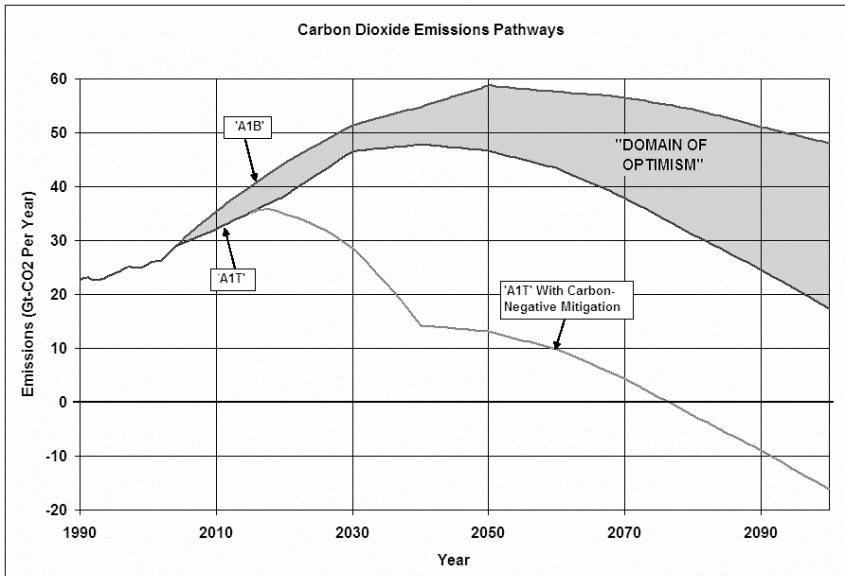


Figure 3. Carbon dioxide emissions pathways of Figure 1 with the addition of a carbon-negative mitigation scenario to A1T, as discussed in the text. Carbon negative mitigation via air extraction begins in 2015 and reaches 34 Gtonne-CO₂/yr in 2040. This level is sustained for the remainder of the century. Emissions peak by 2020 and become net negative later in the century as the energy shifts and efficiency gains of scenario A1T take hold.

Figure 3 shows a representative emissions profile that achieves a carbon-negative solution based on new air extraction technology. The A1T scenario is used as a reference case. Aggressive operational deployment is assumed to begin in 2015, a date believed achievable given the state of the technology. By 2020 the worldwide deployment rate achieves half the projected deployment rate of new primary power generation assets, and emissions begin to decline. From 2020 through 2040, the pace of deployment matches the growth of primary power assets in the A1T scenario, while maintaining the 50 percent installation ratio. After 2040, there is no further net

deployment, and the extraction level of of 34 Gtonne- CO_2 /yr is sustained for the remainder of the century. The worldwide land dedication associated with this extraction level is estimated at less than 300 km^2 . The total extraction for the century is approximately 2,400 Gtonne- CO_2 , in line with IPCC estimates for the accessible capacity of geological formations and substantially less than the potential capacity of deep saline formations [38].

As shown in the figure, the extraction level eventually offsets not only all power generation emissions but the transportation and industrial/commercial sectors as well. Consequently net CO_2 emissions achieve negative values. Offset of these sectors and the realization of negative net emissions cannot be achieved by flue gas CCS alone. Indeed it has been estimated that halving emissions by 2050, which requires decarbonization of the transportation sector, will need options costing up to \$200/tonne- CO_2 [39].

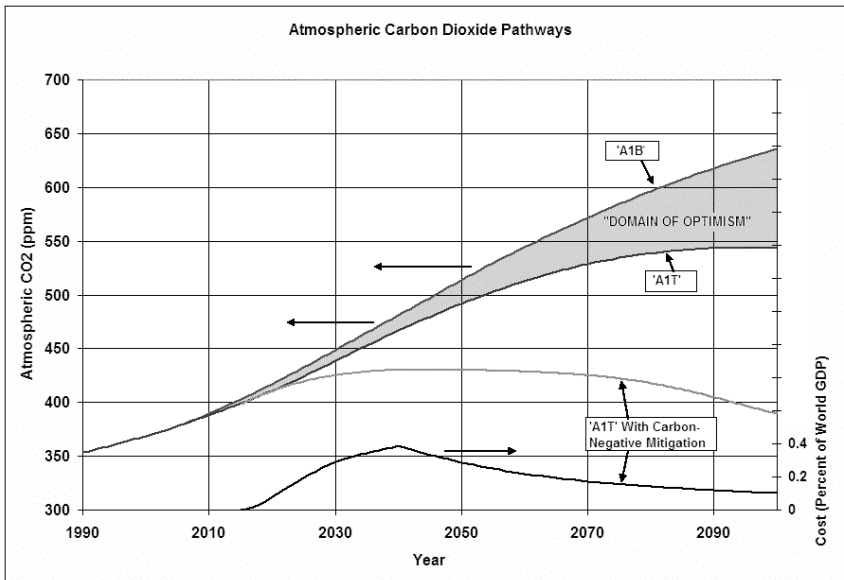


Figure 4. Atmospheric carbon dioxide levels to 2100 corresponding to the emissions scenarios of Figure 3. With the carbon-negative scenario of Figure 3, the atmospheric level peaks at only 10% above the current level and returns to current levels by century's end. The annual undiscounted cost of carbon-negative mitigation via air extraction, as a percent of world GDP, is shown in the lowermost curve (right hand vertical axis), for a constant unit cost of \$25/tonne- CO_2 . Adopting the 1.5% time discount rate used by Nordhaus [19] reduces the total cost over the century by about half.

Figure 4 shows the effect of air extraction deployment on atmospheric CO_2 , relative to the optimistic A1T scenario. At the end of the century, the CO_2 level has returned to current values and is still declining. This level of atmospheric CO_2 is presumed to represent a substantially lower-risk atmosphere. The lowermost curve in the figure

shows the cost as a percent of world GDP (PPP basis), assuming a constant and undiscounted extraction-and-sequestration cost of \$25/tonne-CO₂. This cost is consistent with the developments discussed above and is believed within reach using available sorbents and process hardware [12]. The total undiscounted implementation cost to 2100 is about \$60 trillion, representing 0.18% of world GDP. Adapting the 1.5% time discount rate used by Nordhaus [19] reduces these figures by about half. Lower future extraction costs, which may be achievable with through continuing R&D, can substantially lower the longer term projected economic cost.

To achieve the same lower-risk atmosphere results using the less optimistic but still relatively progressive A1B scenario as a reference case requires substantially longer and more extensive air extraction deployment, with correspondingly higher costs. This result underscores the importance of a simultaneous, orderly, economically efficient transition to a nearly emissionless energy portfolio by century's end.

4. CONCLUSIONS

We have shown that extensive deployment of air extraction technology capitalizing on recent scientific developments has the potential to substantially lower the risk of greenhouse gas emissions, while maintaining anticipated global economic growth. This deployment complements an orderly transition to nearly emissionless energy by century's end. Therefore, there exist significant incentives for accelerated R&D efforts on new air extraction technology. This R&D should pursue energy cost reductions and define new configurations for siting at energy-generating and other industrial facilities where low-grade process heat can be harvested to power atmospheric extraction.

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